

Applicant or Patentee: **Blaser, M.**

Attorney's Serial or Patent No.:

Filed or Issued: January 30, 1997

For: ~~Means For~~ **Delivering Antigens For Vaccination with a Live Vector**  
~~Method of~~Docket No.: **D5979****VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official of the nonprofit organization empowered to act on behalf of the concern identified below:

NAME OF ORGANIZATION: **Vanderbilt University**ADDRESS OF CONCERN: **405 Kirkland Hall  
Nashville, Tennessee 37240****TYPE OF ORGANIZATION:**☒ University of other institution of higher learning.

I hereby declare that the above identified nonprofit organization qualifies as a nonprofit organization as defined in 37 CFR 1.9(e), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above with regard to the invention, entitled as named above by inventor(s) as named above described in the specification filed herewith.

If the rights held by the above identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name

Address

☐ Individual      ☐ Small Business Concern      ☒ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name Of Person Signing: **LARRY R. STERANKA**

Title Of Person Other Than Owner:

Address Of Person Signing: **110 21st Ave. South, Suite 850, Nashville, Tennessee 37203**Signature: Larry R. SterankaDate: 1/30/97

09355793-092199

**COMBINED DECLARATION AND POWER OF ATTORNEY**

I, **Stuart A. Thompson**, hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and joint inventor, together with **Martin Blaser** and **Joel Dworkin**, of the subject matter which is claimed and for which a patent is sought under 35 U.S.C. 371 on the invention entitled, **Method of Delivering Antigens for Vaccination with a Live Vector**; the specification of which was filed January 30, 1998 as PCT/US98/01780 and which claims benefit of priority under 35 U.S.C. 119(e) of US provisional application 60/036,321 filed January 31, 1997.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Dr. Benjamin Adler, Registration No. 35,423. Address all telephone calls to Dr. Benjamin Adler at telephone number 713/777-2321. Address correspondence to Dr. Benjamin Adler, McGREGOR & ADLER, LLP, 8011 Candle Lane, Houston, TX 77071.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: **Stuart A. Thompson**

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: 2423 Claylick Rd., Whites Creek, TN 37189

Citizen of: United States of America

Post Office Address: 2423 Claylick Rd., Whites Creek, TN 37189

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## COMBINED DECLARATION AND POWER OF ATTORNEY

I, **Martin Blaser**, hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and joint inventor, together with **Stuart A. Thompson** and **Joel Dworkin**, of the subject matter which is claimed and for which a patent is sought under 35 U.S.C. 371 on the invention entitled, **Method of Delivering Antigens for Vaccination with a Live Vector**; the specification of which was filed January 30, 1998 as PCT/US98/01780 and which claims benefit of priority under 35 U.S.C. 119(e) of US provisional application 60/036,321 filed January 31, 1997.

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Full Name of Inventor: **Martin Blaser**

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: 733 Darden Place, Nashville, TN 37205

Citizen of: United States of America

Post Office Address: 733 Darden Place, Nashville, TN 37205

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**COMBINED DECLARATION AND POWER OF ATTORNEY**

I, **Joel Dworkin**, hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and joint inventor, together with **Martin Blaser** and **Stuart A. Thompson**, of the subject matter which is claimed and for which a patent is sought under 35 U.S.C. 371 on the invention entitled, **Method of Delivering Antigens for Vaccination with a Live Vector**; the specification of which was filed January 30, 1998 as PCT/US98/01780 and which claims benefit of priority under 35 U.S.C. 119(e) of US provisional application 60/036,321 filed January 31, 1997.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: **Joel Dworkin**

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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